

SUPERANNUATION VOTING TRANSPARENCY REPORT

1. Name of asset owner/ fund manager & fund name chosen to assist with queries below:

ANZ Australian Staff Superannuation Scheme

2. Brief Description of Asset Owner:

Corporate Superfund for the employees and spouses of ANZ
Funds Under Management: \$4.2billion (as of June 2017)

URL:

<http://www.apra.gov.au/Super/Publications/Pages/superannuation-fund-level-publications.aspx>

3. Memberships

Association	Membership
UNPRI	No
FSC	No
RIAA	No
ACSI	No

4. Do they publicly disclose a clear policy on how ESG issues are incorporated in all of their equity investment activities (i.e not just for SRI funds?) and do they screen any particular industry?

There is no mention of an ESG policy and no mention of how these issues are addressed anywhere on the website. There is a proxy voting policy dating from 2014.

URL:

<https://www.anzstaffsuper.com/trustee-information.html> (under scheme documents)

Score (0 out of 3)

5. Do they publicly disclose their voting record for either or both their ASX and international holdings?

There is a publicly available voting record of international and domestic holdings for the period from October 2016 to September 2017. The records are sorted by date and within the company by proposal number. There are no rationales or older disclosures available.

The voting record pertains to the holdings of just one of the fund's investment managers – Kinetic Investment Partners. Kinetic is a small cap manager and is therefore unlikely to have held any of the companies that had shareholder requisitioned resolutions at their AGM's.

URL:

<https://www.anzstaffsuper.com/trustee-information.html>

https://secure.superfacts.com/web/IWfiles/attachments/Form/SA008_TrusteeProxyVotingRecordOctober2017.pdf

Score (0.5 out of 5)

6. DOMESTIC VOTING

January to June 2017 ASX						
	Theme of Proposal	For	Against	Abstain	Not Holding	Score
Oil Search	Climate Change item no.7				X	0
	Human rights				X	0
Santos	Amendment of Constitution item no.5a.				X	0
	Climate Change item no.5b. (Strategic Resilience for 2035 and Beyond)				X	0
URL: (Max score 4)Total						0

7. INTERNATIONAL VOTING

International 2017 Resolutions						
	Theme of Proposal	For	Against	Abstain	Not Holding	Score
The AES Corporation	Climate Change				X	0
Ameren (item 6.)	Climate Change				X	0
Dominion (item 8.)	Climate Change				X	0
DTE (item 5.)	Climate Change				X	0
Duke (item 7.)	Climate Change				X	0
FirstEnergy (item 10.)	Climate Change				X	0
PNM	Climate Change				X	0
PPL (item 6.)	Climate Change				X	0
The Southern Company (item 6.)	Climate Change				X	0
OAT&T (item 5)	Political Expenditure				X	0
Alphabet Inc (item 8)	Political Expenditure				X	0
Wells Fargo (item 5)	Consumer Rights (Retail Banking Sales Practices Report)				X	0
UK Royal Dutch Shell (item 21)	Climate Change (GHG Reduction Targets)				X	0
UK Sports Direct (item 19)	Human Capital Management (2016)				X	0
(Max score 14) Total						0

8. LAGGING

INTERNATIONAL/ DOMESTIC RECORDS			
	Yes	No	Score
Records available within 2 months of financial year end	X		2
Records available for last year to date		X	0
June 2016 Records are available		X	0
(Max score 6) Total			2

9. Proxy Advisor used:

N/A

10. Since June 2015 has the Fund lead filed or co-filed any ESG related shareholder resolutions at ASX 100 listed companies?

Not to the knowledge of the ACCR

11. Prose:

ANZ Staff Super has not provided evidence of a clear ESG policy which is publicly available.

The fund provides an incomplete proxy voting record, for just one of its seven equities investment managers.

The fund is a staff super fund for a private listed company and there may be further resources available for members.

NOTES