

SUPERANNUATION VOTING TRANSPARENCY REPORT

1. Name of asset owner/ fund manager & fund name chosen to assist with queries below:

Tasplan Superannuation Fund

2. Brief Description of Asset Owner:

Industry Super fund

3. Funds Under Management:

\$9.05B as at June 2017

URL: <https://www.apra.gov.au/publications/annual-fund-level-superannuation-statistics>

4. Memberships

Association	Membership
UNPRI	Yes
FSC	No
RIAA	No
ACSI	Yes

5. Do they publically disclose a clear policy on how ESG issues are incorporated in all of their equity investment activities (i.e not just for SRI funds?) and do they screen any particular industry?

Tasplan Super does not have an explicit document or policy detailing ESG issues. However, the fund has disclosed its investment beliefs which briefly mentions ESG risks and the impact it has on its investment decisions. It is also a UNPRI member that uses the six fundamental principles for ESG engagement. Specifically, the fund actively seeks to “better understand ESG risks” and engages with corporations focusing on ESG issues. Tasplan Super also screens out industries that adversely affects society such as the tobacco industry. But Tasplan super does not discuss in detail any specific ESG issue.

URL:

<https://www.tasplan.com.au/fiftyplus/investment/backstage/>

<https://www.tasplan.com.au/assets/Forms-publications-and-fact-sheets/Fact-sheets/Tasplan-Trustee-Investment-Beliefs.pdf>

<https://www.tasplan.com.au/assets/Proxy-Voting-Policy-July-14.pdf> (p.g. 4 - 6)

Score (0.5 out of 3)

6. Do they publicly disclose their voting record for either or both their ASX and international holdings?

Both domestic and international voting records are disclosed annually with ... months of lag. All votes include company and proposal description however rationales for votes are not provided.

URL: <https://www.tasplan.com.au/members/investment/backstage/share-voting-australian/>

Score (3 out of 6)

7. DOMESTIC VOTING

January to June 2017 ASX						
	Theme of Proposal	For	Against	Abstain	Not Holding	Score
Oil Search	Climate Change item no.7	X	X			0
	Human rights		X			-1
Santos	Amendment of Constitution item no.5a.				X	0
	Climate Change item no.5b. (Strategic Resilience for 2035 and Beyond)				X	0
URL: (Max score 4)Total						-1

8. INTERNATIONAL VOTING

No Records found for international voting

9. LAGGING

DOMESTIC RECORDS			
	Yes	No	Score
Records available within 2 months of financial year end	X		1
Records available for last year to date		X	0
June 2016 Records are available		X	0
(Max score 3) Total			1

10. Proxy Advisor used:

N/A

11. Since June 2015 has the Fund lead filed or co-filed any ESG related shareholder resolutions at ASX 100 listed companies?

Not to the knowledge of the ACCR.

12. Prose:

Tasplan discloses its investment beliefs, which briefly mentions ESG risks, but it doesn't discuss those issues in detail.

Domestically, Tasplan Super has received the chance to vote in support of one Climate Change based shareholder resolution which it chose to vote against as recorded in its Proxy Voting Summary for the time period FY 2016 – 2017. Similarly, the fund has also voted against the one chance it to do so on governance related issues.

No records were found on Tasplan website in regards to international proxy voting records. It is noted that Tasplan invests in international shares as it does have an international shares option which commenced on 1st December 2015.

NOTES

Tasplan held Oil Search with two managers - Balanced Equity Management and Wavestone Capital. On the climate change resolution, the two managers voted differently, creating a split vote for Tasplan. Both managers voted against the human rights resolution.